

34th Annual Conference on
Legal Issues for Financial Institutions

Friday, September 19, 2014

7:40 a.m. Registration Table Opens / Continental Breakfast

8:20 a.m. Welcome and Announcements

*William T. Repasky, Conference Chair
Frost Brown Todd LLC
Louisville, Kentucky*

8:30 a.m. Common Questions/Issues Encountered by Bank Counsel Under the UCC

*John T. McGarvey and Melinda T. Sunderland
Morgan & Pottinger, P.S.C.
Louisville, Kentucky*

9:15 a.m. Case Law & Legislative Update: Notable Developments for Bank Counsel

*M. Thurman Senn
Morgan & Pottinger, P.S.C.
Louisville, Kentucky*

10:00 a.m. Morning Break

10:20 a.m. Annual Survey of Bankruptcy and Workout Developments for Financial Institutions

*Lea Pauley Goff
Stoll Keenon Ogden PLLC
Louisville, Kentucky*

11:05 a.m. Regulatory Matters for Financial Institutions: An Update from the FDIC

*Tim Divis, Regional Counsel
Federal Deposit Insurance Corporation
Chicago, Illinois
Nathan L. Berger
Frost Brown Todd LLC
Louisville, Kentucky*

11:55 a.m. Lunch Break [box lunch provided]

**Lunch Presentation by Comm. Charles A. Vice,
Kentucky Department of Financial Institutions**

12:55 p.m. An Update from the Consumer Financial Protection Bureau

*Anthony Gibbs, Director, Midwest Regional Office
Consumer Financial Protection Bureau
Chicago, Illinois*

1:55 p.m. Technology and Third Party Vendor Issues for Financial Institution Counsel

*William T. Repasky
Frost Brown Todd LLC
Louisville, Kentucky
Martha A. Ziskind
Wyatt, Tarrant & Combs
Louisville, Kentucky
John Ryan
Stock Yards Bank
Louisville, Kentucky*

2:45 p.m. Afternoon Break

3:05 p.m. Hot Topics in Financial Institution Compliance

*Stephanie Renner, General Counsel
PBI Bank
Louisville, Kentucky*

3:40 p.m. Residential Lending Issues for Banks and Other Financial Institutions

*Richard A. Vance and Katherine A. Bell
Stites & Harbison PLLC
Louisville, Kentucky*

4:40 p.m. Adjourn Conference



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REGISTRATION INFORMATION

The registration fee includes attendance for all sessions, a copy of the course materials, a box lunch, and refreshments before the morning session and during breaks. Pre-registration is strongly recommended. Full refund of conference tuition (less \$50.00 administrative charge) is given until 4:30 p.m. Eastern, September 17, 2014. If seminar materials are desired after cancellation of registration, they will be mailed after the seminar and the price of the materials will be deducted from the refund. No refunds are made for cancellations after 4:30 p.m. Eastern, September 17, 2014; however, materials will be forwarded immediately in full consideration for tuition paid. Registrations are transferable and substitution of registrants may be made any time before the seminar begins.

SEMINAR OBJECTIVE

An annual conference designed to provide in-house counsel, private practitioners representing financial institutions, commercial law practitioners and others with instruction offering emphasis on the changes occurring over the past year in law of the banking industry. The information and subject matter addressed will enhance the registrant's ability to advise clients or employers more efficiently and effectively in an increasingly complex area. Knowledge of banking and commercial law will be assumed. LEVEL OF INSTRUCTION: Intermediate to Advanced. SUGGESTED PREREQUISITE: Limited experience in legal practice in subject matter or completion of basic CLE course in subject matter.

SEMINAR LOCATION & PARKING INFORMATION

The seminar will be held at the University of Kentucky College of Law, located at 620 S. Limestone in Lexington, immediately south of the central downtown area. Allow at least 30 minutes extra for parking. Pay parking is available in the campus parking structure adjacent to the Kennedy Bookstore, located at 409 S. Limestone. Directions are provided with registration confirmation.

CONTINUING EDUCATION CREDIT

This seminar should be approved by the Kentucky Bar Association Continuing Legal Education Commission for 6.5 hours of credit, as well as in "60 minute" states, including Indiana and Tennessee. States calculating "50 minute" credit hours should approve this conference for 7.8 hours.

ACCOMMODATIONS

A list of hotels and motels is available upon request.

PERSONS WITH DISABILITIES

We will make every effort to ensure that meetings are held at facilities which are fully accessible to persons with mobility disabilities. If you plan to attend our program and will need other special facilities or assistance relating to a disability, please contact us at (859) 257-2921. Parking spaces for visitors with disabilities are located in close proximity to College of Law, and are available to visitors with special needs. However, these spaces require a disabled permit issued by Parking Services. To obtain a permit, please call UK Parking Services at (859) 257-5757.

ADDITIONAL INFORMATION

Write or call the Office of Continuing Legal Education at: CLE Suite, Room 20 Law Building, University of Kentucky, Lexington, KY 40506-0048, (859) 257-2921. For a list of other CLE programs and publications, please visit our website at:

www.UKCLE.com

